

AS-regular algebras and Frobenius algebras

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★ Thm [S.P. Smith, '96, Prop 5.10]

(Noncomm alg geometry)

Smith's thm and examples

A : Koszul alg ($gldim A < \infty$)

$$\Rightarrow [A: \text{AS-regular (alg)} \iff A^! (\text{Koszul dual of } A) = \text{Frobenius}]$$

("AS-Gorenstein alg")

Notation (due to [Smith])

• k : a field ($\otimes := \otimes_k$)

(Rep theory of fin dim alg)

• $A = \bigoplus_{i \geq 0} A_i$: connected graded locally finite non-negatively graded k -alg.

(簡単な仮定)

($\forall i, dim_k A_i < \infty$)

$$(A_{\geq 1} := \bigoplus_{i \geq 1} A_i)$$

$$(A_0 = k \underset{A}{=} A/A_{\geq 1})$$

(trivial module)

• $GrMod A$: the cat of graded right A -module.

• $Hom_{Gr}(N, M)$: the morph in $GrMod A$.

$$\{f: N \rightarrow M: A\text{-hom} \mid f(N_i) \subset M_i, \forall i \in \mathbb{Z}\}$$

• $Hom_A(N, M)_d := \{f: N \rightarrow M: A\text{-hom} \mid f(N_i) \subset M_{i+d} (\forall i \in \mathbb{Z})\}$
 (hom $_A(N, M(d))$)
 the degree of f is d .

$$Hom_A(N, M) := \bigoplus_{d \in \mathbb{Z}} Hom_A(N, M)_d$$

(* Note that, N : fin gen $\Rightarrow Hom_A(N, M) = Hom(N, M)$)

($i \in \mathbb{Z}$) We write $\hat{Ext}_A(N, M)$ for the derived functor of $Hom_A(N, M)$

$$Ext_A^*(N, M) := \bigoplus_{p \in \mathbb{Z}} Ext_A^p(N, M)$$

$$Ext_A^*(N, M) = Ext_{\hat{A}}^*(N, M)$$

$M(1) := M$ (as a right A -module): the shift functor (1) on $GrMod A$ but with grading $M(1)_i := M_{i+1}$

説明は!!

$$\text{Thus, } Hom_A(N, M) = \bigoplus_{n \in \mathbb{Z}} Hom_{Gr}(N, M(n))$$

$$M \in GrMod A, M^* := Hom_k(M, k)$$

§1. Preliminaries

§2. AS-regular alg and Frobenius alg, Smith's Thm (\rightarrow examples)

§1

A graded A-module $M = \bigoplus_{\lambda \in \mathbb{Z}} M_\lambda$ is called bounded below if $M_\lambda = 0$ for $\lambda \ll 0$.

We recall that the following Nakayama type Lemma.

Lemma 1.1 M : graded A-module bounded below. TFAE.
(1) $A_{\geq 1}M = M$.
(2) $M = 0$.

Recall A graded free A-module P is a graded module of the form $P = A \otimes_k V = \bigoplus_{\lambda \in \Lambda} A(n_\lambda)$, where Λ : an index set, $V := \bigoplus_{\lambda \in \Lambda} k(n_\lambda)$.

Using Lemma 1.1, we can deduce the following.

Prop 1.2 M : graded A-module bounded below.
 $\Rightarrow \exists$ a surjective graded A-module hom $f: F \rightarrow M$ s.t. $\text{Ker } f \subset A_{\geq 1}F$.
Moreover, such morph.s are unique up to iso.
Namely, \exists a surjective hom $f': F' \rightarrow M$ of graded A-hom s.t. $\text{Ker } f' \subset A_{\geq 1}F' \Rightarrow \exists$ an iso $g: F \rightarrow F'$ s.t. $f'g = f$.

Cor. 1.3 Every graded projective A-module bounded below is free.

We recall mini proj res of a graded A-module. (*: free \Leftrightarrow proj)

Def 1.4 M : graded A-module of bounded below. A graded proj res $\dots \rightarrow P_n \xrightarrow{d_n} P_{n-1} \rightarrow \dots \xrightarrow{d_2} P_1 \xrightarrow{d_1} P_0 \xrightarrow{\epsilon} M \rightarrow 0$ is called minimal, if $\text{Ker } \epsilon \subset P_0$, $\text{Ker } d_n \subset A_{\geq 1}P_n$ for all $n \geq 1$.

- By Prop 1.2, $\forall M$: graded A -module bounded below, M has a mini proj res whose term P_n is a graded free module bounded below.
- By Cor 1.3, each term P_n is of the form

$$\dots \rightarrow A \otimes_k V_n \xrightarrow{d_n} A \otimes_k V_{n-1} \rightarrow \dots \rightarrow A \otimes_k V_1 \xrightarrow{d_1} A \otimes_k V_0 \xrightarrow{\epsilon} M \rightarrow 0$$

... (1.1)

* (Linear free resolution is minimal)

(紹介例) $A := k[x_1, \dots, x_n]$: polynomial ring ($\deg x_i = 1$)

$$0 \rightarrow \oplus A(-n) \xrightarrow{M^n} \oplus A(-n+1) \xrightarrow{M^{n-1}} \dots \xrightarrow{M^2} \oplus A(-1) \xrightarrow{M^1} \oplus A \rightarrow k \rightarrow 0$$

↑ where $M^l := (m_{ij}^l)$, $m_{ij}^l \in A_1$.

This is mini free res of k as graded A -modules.

(紹介例) $A := k\langle x, y \rangle / (\alpha xy - yx)$ ($\alpha \in k \setminus \{0, 1\}$) (gl. dim $A = 2$, $H_A(x) = \frac{1}{(1-x)^2}$) (Hilbert series of A)

$$0 \rightarrow A(-2) \xrightarrow{\begin{pmatrix} \alpha y \\ -x \end{pmatrix}} A(-1)^{\oplus 2} \xrightarrow{(x, y)} A \rightarrow k \rightarrow 0$$

↑ This is mini-free res $\Leftrightarrow \alpha \neq 0$

Def. 1.5 $M \in A\text{-gr}_{\mathbb{Z}^n} \subseteq A\text{-gr}_{\mathbb{Z}}$

M has a linear resolution

$$\text{def } \exists V^{(0)}, V^{(1)}, \dots, V^{(a)}: k\text{-vec sp, } a \in \mathbb{Z}, \exists \tau, \dots \rightarrow A \otimes_k V^{(1)} \rightarrow A \otimes_k V^{(0)} \rightarrow M \rightarrow 0$$

$V^{(i)} = (V^{(i)_j})_{j \in \mathbb{Z}}$

Rmk. Linear free resol is minimal. (A_0 has a linear free resolution)

(Ex) \odot A : Koszul, the Koszul resolution of A_0 is a linear free resolution.

Prop 1.6 M : a graded A -module of bounded below. We take a mini proj res of M as give in the above (1.1). Then the following hold.

- (1) $\text{Ext}_A^p(M, k) \cong V_p^*$
- (2) $\text{Tor}_p^A(k, M) \cong V_p$.

Lemma 1.7 $A = \bigoplus_{i \geq 0} A_i$: connected locally finite non-negatively graded alg

Then, for $n \in \mathbb{N}$, the following statements are equivalent.

- (1) $\text{gl. dim } A \leq n$.
- (2) $\text{Ext}_A^{n+1}(k, k) = 0$.

A

(i) $0 \rightarrow \bigoplus A(-n) \xrightarrow{M^d} \bigoplus A(-n+1) \rightarrow \dots \rightarrow \bigoplus A(-1) \rightarrow \bigoplus A \rightarrow k \rightarrow 0$

(ii) $M^l = (m_{ij}^l) \quad m_{ij}^l \in A$

(iii) \downarrow
 $\text{Hom}_A(-, A)$

$$0 \leftarrow k(d) \leftarrow \bigoplus A(d) \xleftarrow{M^d} \bigoplus A(d-1) \xleftarrow{M^2} \bigoplus A(1) \leftarrow \bigoplus A \leftarrow 0$$

$\eta \quad \eta \quad \eta \quad \eta$

Gorenstein mini free res of $k(d)$ as graded left A -module.

'x' cond (for mini free res p. 127 対称性. 1) は σ_1 子 σ_2 子 σ_3 子 σ_4 子)

From now on, all algs are quadratic (and therefore connected.)

Def. A: graded k-alg

A: quadratic $\iff A = T(V)/(R)$ where

- (i) V: fin dim k-vec sp, concentrated in deg 1.
- (ii) T(V): the tensor alg on V, with the induced grading.
- (iii) (R): the ideal of T(V) generated by a subset $R \subset V \otimes_k V$.

The dual of a quad alg A is $A^! := T(V^*)/(R^\perp)$ where

$$R^\perp := \{ \lambda \in V^* \otimes_k V^* \mid \lambda(v) = 0, \forall v \in R \}$$

We identify $(V \otimes_k V)^*$ with $V^* \otimes_k V^*$ by defining $(\alpha \otimes \beta)(u \otimes v) = \alpha(u) \otimes \beta(v), \forall \alpha, \beta \in V^*, \forall u, v \in V$.

Rmk If, in def of $A^!$, we identify $(V \otimes_k V)^*$ with $V^* \otimes_k V^*$ by defining $(\alpha \otimes \beta)(u \otimes v) = \alpha(v) \otimes \beta(u), \forall \alpha, \beta \in V^*, \forall u, v \in V$, then $\text{Ext}_A^*(k, k)$ is isomorphic to $(A^!)^{op}$.

Rmk $\{x_\lambda\}$: basis for $A_1, \{y_\lambda\}$: its dual basis in A_1^*
 $\implies e := \sum_\lambda x_\lambda \otimes y_\lambda (\in A_1 \otimes (A_1^*)^*)$ is independent of the choice of basis.

Thm 2.5 (Recall) A: quad alg. TFAE.

- (1) A: Koszul. (2) A^{op} : Koszul
- (3) $A^!$: Koszul
- (4) $\text{Ext}_A^*(k, k) \cong (A^!)$ as a graded k-alg.s
- (5) The Koszul complex is a mini res of k .
- (6) $\text{Ext}_A^p(k, k)_j = 0$ if $p+j \neq 0$.

(i) In this case, $A^!$ is called Koszul dual

A_0 connected

Thm 2.6 A: Koszul. TFAE.

(1) $gl.\dim A < \infty$ (2) $\dim_k A^! < \infty$.

(*) $A = \bigoplus_{i=0}^{\infty} A_i$: connected
 locally finite non-negatively graded alg. (6)

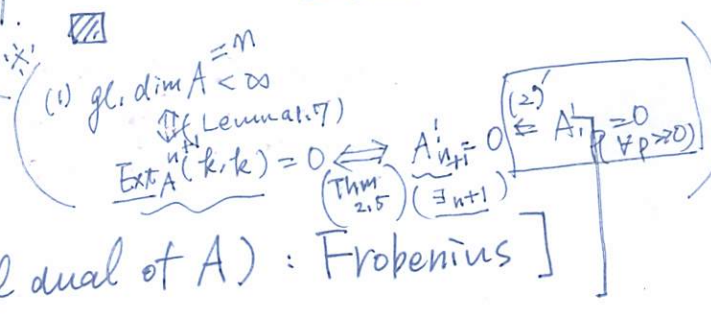
proof) Since $A^!$ is locally finite, the condition (2) is equivalent to the condition (2') $A^!_p = 0$ ($\forall p \gg 0$).

(1) \Rightarrow (2') By Thm 2.5 and Lemma 1.7, $A^!_p \cong \text{Ext}_A^p(k, k) = 0$ for $p \gg 0$.
 (Thm 2.5) (Lemma 1.7) (1) $gl.\dim A < \infty$

(2') \Rightarrow (1) It follows from Lemma 1.7. \square

★ Thm 2.7 ([Smith, '96, Prop 5.10])

A : Koszul alg $\Rightarrow [A$: AS-regular alg $\Leftrightarrow A^!$ (Koszul dual of A): Frobenius]



(Rmk Without assuming "Koszul", the similar statement holds by [Lu-Palmieri-Wu-zhang, Cor D].)

proof) Note that since $gl.\dim A < \infty$, by Thm 2.6, $A^!$ is finite dim. ($\dim_k A^! < \infty$)

The groups $\text{Ext}_A^i(k, A)$ are the homology groups of the complex obtained by applying $\text{Hom}_A(-, A)$ to the Koszul complex for A , that is, $\text{Ext}_A^i(k, A)$ are the homology group of the complex

$$0 \rightarrow A_A \xrightarrow{d} A_1^! \otimes_k A_A \xrightarrow{d} \dots \xrightarrow{d} A_n^! \otimes_k A_A \rightarrow 0 \dots (*)$$

(of right A -module, where the differential d is left multiplication) by $\sum \gamma_\lambda \otimes \alpha_\lambda$. ($\{\alpha_\lambda\}$: basis for A_1 , $\{\gamma_\lambda\}$: its dual basis in A_1^*)

$\therefore A$: AS-regular alg $\Leftrightarrow (*)$ is exact except at final position

where its homology is $k_A(m)$.
 (Rmk) $gl.\dim A = n$
 $\text{Ext}_A^i(k, A) \cong \begin{cases} k & (i=n) \\ 0 & (i \neq n) \end{cases}$

⑥ $A = \text{Koszul}$

⇒ $0 \rightarrow K_n(A) \rightarrow \dots \rightarrow K_1(A) \rightarrow K_0(A) \rightarrow 0$ (Let A -module)

\uparrow
 $(\text{gl dim } A < \infty)$ \parallel $A \otimes_{\mathbb{k}} (A'_n)^*$
 \parallel $A \otimes_{\mathbb{k}} (A'_i)^*$
 \parallel $A \otimes_{\mathbb{k}} (A'_0)^*$
 $\parallel \leftarrow (A_0 = \mathbb{k})$
 $A \otimes_{\mathbb{k}} \mathbb{k}$
 \parallel
 \textcircled{A}

: Koszul complex for A

Applying $\text{Hom}_A(-, A)$ $\left(\begin{array}{l} \text{Hom}_A(A \otimes_{\mathbb{k}} (A'_n)^*, A) \\ \cong A'_n \otimes_{\mathbb{k}} A \quad (\forall n) \end{array} \right)$

$$0 \rightarrow A \xrightarrow{d} A'_1 \otimes_{\mathbb{k}} A \xrightarrow{d} \dots \xrightarrow{d} A'_n \otimes_{\mathbb{k}} A \rightarrow 0 \quad \dots (*)$$

$(\text{Hom}_A(A, A) \cong A)$
 $(\text{right } A\text{-module})$
 \parallel
 $(A'_0 \otimes_{\mathbb{k}} A)$

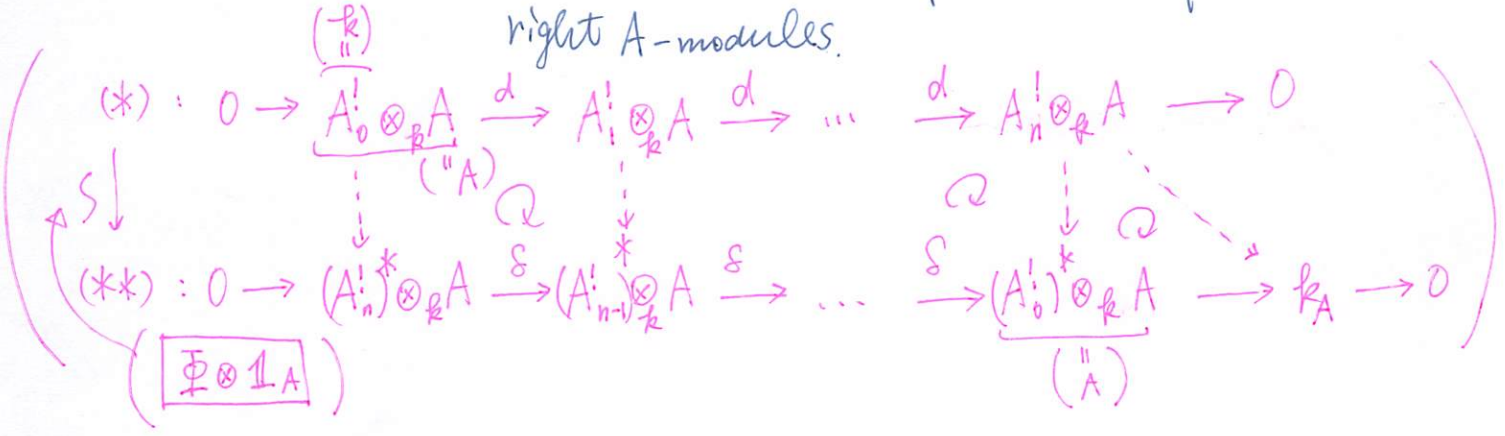
$\text{Ext}_A^i(\mathbb{k}, A)$ are the homology group of the complex $(*)$

By Thm 2.5, A^{op} is Koszul, so k_A has a mini res given by the Koszul complex: $(A \text{ is Koszul})$

$$0 \rightarrow (A_n^!)^* \otimes_k A \xrightarrow{\delta} \dots \xrightarrow{\delta} (A_1^!) \otimes_k A \xrightarrow{\delta} A \rightarrow \underline{k_A} \rightarrow 0 \dots (**)$$

(where δ is left multiplication by $\sum_{\lambda} \zeta_{\lambda} \otimes x_{\lambda}$.)

$A: AS\text{-reg alg} \iff (*) , (**)$ are isomorphic as complex of right A -modules.



$A: AS\text{-reg alg} \iff \exists$ iso $\Phi: A^i \rightarrow (A^i)^*_{(-n)}$ of graded vector sp s.t., $\Phi \otimes 1_A$ is an iso of complexes.

that is, s.t., $S \circ (\Phi \otimes 1_A) = (\Phi \otimes 1_A) \circ d$.

Given the above descriptions of d and S ,

if $\alpha \otimes a \in A_i^! \otimes_k A$, then

$$\begin{cases} (S \circ (\Phi \otimes 1_A))(\alpha \otimes a) = \sum_{\lambda} \zeta_{\lambda} \Phi(\alpha) \otimes x_{\lambda} a \\ ((\Phi \otimes 1_A) \circ d)(\alpha \otimes a) = \sum_{\lambda} \Phi(\zeta_{\lambda} a) \otimes x_{\lambda} a \end{cases}$$

$\begin{aligned} & \left(S \left(\sum_{\lambda} \Phi(\alpha) \otimes a \right) \right) \\ & \parallel \leftarrow (S \text{ left}) \\ & \sum_{\lambda} \zeta_{\lambda} \Phi(\alpha) \otimes x_{\lambda} a \end{aligned}$

$\begin{aligned} & \left((\Phi \otimes 1_A) \circ d \right) (\alpha \otimes a) \\ & = \sum_{\lambda} \Phi(\zeta_{\lambda} a) \otimes x_{\lambda} a \end{aligned}$

$A: AS\text{-reg alg} \iff \exists$ iso $\Phi: A^i \rightarrow (A^i)^*_{(-n)}$ of graded vec sp s.t., $\zeta_{\lambda} \Phi(\alpha) = \Phi(\zeta_{\lambda} \alpha), \forall \alpha \in A^i, \forall \lambda$.

This precisely means that Φ is a left $A^!$ -module iso. (Recall that $A^!$ is finite dim. $\dim_k A^! < \infty$ is not a condition.) $\left(\begin{matrix} * \\ + \\ A_n^! \neq 0, \\ A_{n+1}^! = 0 \end{matrix} \right)$ So, by Lemma 2.2, Φ is equivalent to the condition that $A^!$ is Frobenius.

Ex 2.8

- (1) $A := \mathbb{k}\langle x_1, \dots, x_n \rangle / I$, $I := \langle \alpha_j x_i x_j - \alpha_i x_j x_i \mid \alpha_i, \alpha_j \in \mathbb{k} \setminus \{0\}, (\leq i < j) \leq n \rangle$
 : the skew polynomial alg
 : (n-dim AS-veg alg, Koszul)

By calculation, $A^!$: Koszul dual of A .

$A^! = \mathbb{k}\langle x_1, \dots, x_n \rangle / I^!$, $I^! := \langle x_i^2, x_i x_j + \alpha_{i,j} x_j x_i \rangle$
 : the quantum exterior alg. ($\alpha_{i,j} := \frac{\alpha_j}{\alpha_i}$)

\therefore By Thm 2.7 (Smith's Thm), $A^!$ is Frobenius alg.

- (2) $A := \mathbb{k}\langle x, y, z \rangle / (yx - \alpha z^2, zy - \beta x^2, xz - \gamma y^2)$, ($\alpha, \beta, \gamma \in \mathbb{k} \setminus \{0\}, \alpha\beta\gamma \neq 0, 1$)
 : 3-dim AS-veg alg, Koszul.

By calculation, $A^!$: Koszul dual of A .

$A^! = \mathbb{k}\langle x, y, z \rangle / \begin{pmatrix} x^2 + \beta zy, xy, \\ y^2 + \gamma xz, yz, \\ z^2 + \alpha yx, zx \end{pmatrix}$ ($\alpha, \beta, \gamma \in \mathbb{k} \setminus \{0\}, \alpha\beta\gamma \neq 0, 1$)

\therefore By Thm 2.7 (Smith's Thm), $A^!$ is Frobenius alg.

Ex (2) $A = \mathbb{k}\langle x, y, z \rangle / \left(\frac{f_1}{\parallel}, \frac{f_2}{\parallel}, \frac{f_3}{\parallel} \right)$
 $(yx - \alpha z^2, zy - \beta x^2, xz - \gamma y^2)$
 : 3-dim Koszul A -reg alg. $(\alpha, \beta, \gamma \neq 0, 1)$
 $(\alpha, \beta, \gamma \in \mathbb{k} \setminus \{0, 1\})$

A' : Koszul dual of A

$A' = \mathbb{k}\langle x, y, z \rangle / I'$ $(I' = \{ F \in \mathbb{k}\langle x, y, z \rangle_2 \mid F(f_i) = 0 \ (i=1,2,3) \})$
 $(\mathbb{k}\langle x, y, z \rangle_2)^*$

x, y, z : dual basis of x, y, z

$F := b_{11}x^2 + b_{12}xy + b_{13}xz + b_{21}yx + b_{22}y^2 + b_{23}yz + b_{31}zx + b_{33}z^2$ $\left(\begin{matrix} + b_{32}zy \\ + b_{33}z^2 \end{matrix} \right)$ $\left(b_{ij} \in \mathbb{k} \right)$

$F(f_1) = 0, F(f_2) = 0, F(f_3) = 0$

つまり b_{ij} を決める。

$\therefore F(f_1) = b_{21} - \alpha b_{33} = 0, F(f_2) = b_{32} - \beta b_{11} = 0, F(f_3) = b_{13} - \gamma b_{22} = 0.$

$\therefore b_{21} = \alpha b_{33}, b_{32} = \beta b_{11}, b_{13} = \gamma b_{22}$

$\therefore F = \underbrace{b_{11}x^2}_{\checkmark} + b_{12}xy + \underbrace{(\gamma b_{22})xz}_{\checkmark} + \underbrace{(\alpha b_{33})yx}_{\checkmark} + \underbrace{b_{22}y^2}_{\checkmark} + b_{23}yz + b_{31}zx$
 $+ \underbrace{(\beta b_{11})zy}_{\checkmark} + \underbrace{b_{33}z^2}_{\checkmark}$

$= b_{11}(x^2 + \beta zy) + b_{12}xy + b_{22}(\gamma xz + y^2) + b_{33}(\alpha yx + z^2)$
 $+ b_{23}yz + b_{31}zx.$

$\therefore I' = (x^2 + \beta zy, \gamma xz + y^2, \alpha yx + z^2, xy, yz, zx)$

$\therefore A' = \mathbb{k}\langle x, y, z \rangle / \left(\begin{matrix} (xz + \gamma y^2) & (yx + \alpha z^2) \\ (x^2 + \beta zy, \gamma xz + y^2, \alpha yx + z^2) \\ xy, yz, zx \end{matrix} \right) \left(\gamma' = \frac{1}{\gamma}, \alpha' = \frac{1}{\alpha} \right)$
 $(\alpha, \beta, \gamma \neq 0, 1)$
 $(\alpha, \beta, \gamma \in \mathbb{k} \setminus \{0, 1\})$

(1) も同様の計算でできる。