## On the Ehrhart ring of the stable set polytope of a cycle graph

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For sets X, Y, #X: the cardinality of X.  $Y^X := \{f \mid f \colon X \to Y\}.$ For a finite set X, we identify  $\mathbb{R}^X$  with  $\mathbb{R}^{\#X}$ , the Euclidean space. For f,  $f_1, f_2 \in \mathbb{R}^X$  and  $a \in \mathbb{R}$ , we define maps  $f_1 \pm f_2$  and af by  $(f_1 \pm f_2)(x) = f_1(x) \pm f_2(x)$ 

$$(af)(x) = a(f(x))$$

for  $x \in X$ .

For a subset A of X, we define the characteristic function  $\chi_A \in \mathbb{R}^X$  by

$$\chi_A(x) = 1$$
 for  $x \in A$  and  $\chi_A(x) = 0$  for  $x \in X \setminus A$ .

For a nonempty subset  $\mathscr{X}$  of  $\mathbb{R}^X$ , we define

 $\operatorname{conv} \mathscr{X} := (\operatorname{the \ convex \ hull \ of \ } \mathscr{X}),$ 

aff  $\mathscr{X} := (affine \text{ span of } \mathscr{X})$ 

relint  $\mathscr{X} := (\text{the interior of } \mathscr{X} \text{ in the topological space aff } \mathscr{X}).$ 

**Definition 1** Let X be a finite set and  $\xi \in \mathbb{R}^X$ . For  $B \subset X$ , we set  $\xi^+(B) := \sum_{b \in B} \xi(b)$ . We define the empty sum to be 0, i.e.,  $\xi^+(\emptyset) = 0$ .

In this talk, all graphs are finite simple graphs without loop.

For a graph G with vertex set V and edge set E we denote G = (V, E) or V = V(G) and E = E(G).

If  $\{a, b\} \in E$ , where  $a, b \in V$ , we say that a and b are adjacent.

A clique of G is a subset K of V such that any two elements of K are adjacent.

If  $v_1, v_2, \ldots, v_r$  are distinct vertices of G with  $r \ge 3$ ,  $\{v_i, v_{i+1}\} \in E$  for  $1 \le i \le r-1$  and  $\{v_r, v_1\} \in E$ , then we say that  $v_1v_2 \cdots v_rv_1$  is a cycle (of length r). A cycle with even (resp. odd) length is called an even (resp. odd) cycle.

Suppose that  $v_1v_2\cdots v_rv_1$  is a cycle. If  $\{v_i, v_j\} \in E$  and  $2 \leq |i-j| \leq r-2$ , we say that  $\{v_i, v_j\}$  is a chord of the cycle  $v_1v_2\cdots v_rv_1$ .

**Definition 2** If a graph G consists of one cycle without chord, we say that G is a cycle graph.

**Definition 3**  $S \subset V$  is called a stable set if  $\{a, b\} \notin E$  for any  $a, b \in S$ . We set

 $STAB(G) := conv \{ \chi_S \in \mathbb{R}^V \mid S \text{ is a stable set of } G \}$ 

and call the stable set polytope of G.

**Remark 4** It is clear that for  $f \in STAB(G)$ ,

(1) 0 ≤ f(x) ≤ 1 for any x ∈ V.
(2) f<sup>+</sup>(K) ≤ 1 for any clique K in G.
(3) f<sup>+</sup>(C) ≤ #C-1/2 for any odd cycle C.

**Definition 5** We set

$$\text{TSTAB}(G) := \left\{ f \in \mathbb{R}^V \middle| \begin{array}{c} f \text{ satisfies (1) and (3) above and } f^+(e) \leq \\ 1 \text{ for any } e \in E \end{array} \right\}.$$

If STAB(G) = TSTAB(G), then G is called a t-perfect graph.

**Remark 6**  $STAB(G) \subset TSTAB(G)$ .

Fact 7 Every cycle graph is t-perfect.

 $\mathbb{K}:$  a field.

X: a finite set.

 $\mathscr{P}$ : a rational convex polytope in  $\mathbb{R}^X$ .

 $-\infty$ : a new element with  $-\infty \notin X$ .

 $X^- := X \cup \{-\infty\}.$ 

 ${T_x}_{x\in X^-}$ : a family of indeterminates indexed by  $X^-$ .

For  $f \in \mathbb{Z}^{X^-}$ , we denote the Laurent monomial  $\prod_{x \in X^-} T_x^{f(x)}$  in  $\mathbb{K}[T_x^{\pm 1} \mid x \in X^-]$  by  $T^f$ .

Set deg  $T_x = 0$  for  $x \in X$  and deg  $T_{-\infty} = 1$ .

**Definition 8** The Ehrhart ring of  $\mathscr{P}$  over a field  $\mathbb{K}$  is the subring

$$\mathbb{K}[T^f \mid f \in \mathbb{Z}^{X^-}, f(-\infty) > 0, \frac{1}{f(-\infty)}f|_X \in \mathscr{P}]$$

of the Laurent polynomial ring  $\mathbb{K}[T_x^{\pm 1} \mid x \in X^-]$ .

We denote the Ehrhart ring of  $\mathscr{P}$  over  $\mathbb{K}$  by  $E_{\mathbb{K}}[\mathscr{P}]$ .

**Fact 9**  $E_{\mathbb{K}}[\mathscr{P}]$  is a Noetherian normal and Cohen-Macaulay domain.

**Remark 10** dim  $E_{\mathbb{K}}[\mathscr{P}] = \dim \mathscr{P} + 1.$ 

Fact 11 The ideal

$$\bigoplus_{f\in \mathbb{Z}^{X^-}, f(-\infty)>0, \frac{1}{f(-\infty)}f|_X \in \operatorname{relint} \mathscr{P}} \mathbb{K}T^f$$

of  $E_{\mathbb{K}}[\mathscr{P}]$  is the canonical module of  $E_{\mathbb{K}}[\mathscr{P}]$ .

We denote the ideal of Fact 11 by  $\omega_{E_{\mathbb{K}}[\mathscr{P}]}$  and call the canonical ideal of  $E_{\mathbb{K}}[\mathscr{P}]$ .

**Definition 12** Let R be a commutative ring and M an R-module. We set

$$\operatorname{tr}(M) := \sum_{\varphi \in \operatorname{Hom}(M,R)} \varphi(M)$$

and call tr(M) the trace of M.

Fact 13 (Herzog-Hibi-Stamate) Let R be a Cohen-Macaulay local or graded ring over a field with canonical module  $\omega_R$ . Then for  $\mathfrak{p} \in \operatorname{Spec}(R)$ ,  $R_{\mathfrak{p}}$  is Gorenstein if and only if  $\mathfrak{p} \not\supset \operatorname{tr}(\omega_R)$ . In particular, R is Gorenstein if and only if  $\operatorname{tr}(\omega_R) = R$ .

Fact 14 (Ohsugi-Hibi, Hibi-Tsuchiya) Let G = (V, E) be a cycle graph. Then the Ehrhart ring  $E_{\mathbb{K}}[STAB(G)]$  of the stable set polytope of G is Gorenstein if and only if the length of the cycle V is even or less than 7. In the rest of this talk, we assume that G = (V, E) is an odd cycle graph with length at least 7.

We set  $V = \{v_0, v_1, \dots, v_{2\ell}\}$ , where  $\ell$  is an integer with  $\ell \geq 3$  and  $E = \{\{v_i, v_{i+1}\} \mid 0 \leq i \leq 2\ell - 1\} \cup \{\{v_{2\ell}, v_0\}\}.$ 

Further, We set  $e_i = \{v_i, v_{i+1}\}$  for  $0 \le i \le 2\ell - 1$  and  $e_{2\ell} = \{v_{2\ell}, v_0\}$  and  $R = E_{\mathbb{K}}[STAB(G)]$ . Then

$$\begin{aligned} \text{STAB}(G) &= \text{TSTAB}(G) \\ &= \left\{ \nu \in \mathbb{R}^V \middle| \begin{array}{l} \nu(v_i) \ge 0, \ \nu^+(e_i) \le 1 \text{ for } 0 \le i \le 2\ell \text{ and} \\ \nu^+(V) \le \ell \end{array} \right\}. \end{aligned}$$

**Definition 15** For  $n \in \mathbb{Z}$ , we set

$$t\mathcal{U}^{(n)} := \left\{ \mu \in \mathbb{Z}^{V^-} \middle| \begin{array}{l} \mu(x) \ge n \text{ for any } x \in V, \\ \mu^+(e) + n \le \mu(-\infty) \text{ for any } e \in E \text{ and} \\ \mu^+(V) + n \le \ell\mu(-\infty) \end{array} \right\}.$$

Then

$$R = \bigoplus_{\mu \in t\mathcal{U}^{(0)}} \mathbb{K} T^{\mu} \quad \text{ and } \quad \omega_R = \bigoplus_{\mu \in t\mathcal{U}^{(1)}} \mathbb{K} T^{\mu}.$$

Set

$$\mathfrak{p}_i = \mathbb{K}\{T^{\mu} \mid \mu \in t\mathcal{U}^{(0)}, \mu(v_i) > 0 \text{ or } \mu^+(V) < \ell\mu(-\infty)\}$$

and

$$\mathscr{P}_i = \{ f \in \mathbb{R}^V \mid f(v_i) = 0 \text{ and } f^+(V) = \ell \}$$

for  $0 \leq i \leq 2\ell$ .

Then  $\mathscr{P}_i$  is a face of  $\mathrm{STAB}(G)$  corresponding to  $\mathfrak{p}_i$ , i.e.,  $E_{\mathbb{K}}[\mathscr{P}_i] = R/\mathfrak{p}_i$ .

**Lemma 16** dim  $\mathscr{P}_i = \ell$  for any *i*.

## Theorem 17

$$\sqrt{\operatorname{tr}(\omega_R)} = \bigcap_{i=0}^{2\ell} \mathfrak{p}_i.$$

In particular, non-Gorenstein locus of R is a closed subset of  $\operatorname{Spec} R$  of dimension  $\ell+1.$ 

Let  $S = \bigoplus_{n \ge 0} S_n$  be a Cohen-Macaulay graded ring,  $\omega_S$  the graded canonical module of Sand a(S) the *a*-invariant of S. If there is an exact sequence

$$0 \to S \to \omega_S(-a) \to M \to 0,$$

with M = 0 or M is an Ulrich module, i.e.,  $e(M) = \mu(M)$ , then we say that S is an almost Gorenstein ring.

**Remark 18**  $e(M) \ge \mu(M)$  in general.

**Theorem 19** R is almost Gorenstein.

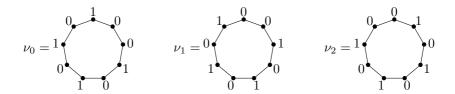
We define  $\nu_i \in \mathbb{R}^V$  by

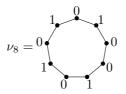
$$\nu_i(v_j) = \begin{cases} 1, & j-i \equiv 0, 2, 4, \dots, 2\ell - 2 \pmod{2\ell + 1}, \\ 0, & \text{otherwise} \end{cases}$$

and  $\mu \in \mathbb{R}^{V^-}$  by

$$\mu_i(x) = \begin{cases} \nu_i(x), & x \in V, \\ 1, & x = -\infty \end{cases}$$

for  $0 \leq i \leq 2\ell$ .





. . .

**Lemma 20**  $\mu_i \in t\mathcal{U}^{(0)}$  for  $0 \leq i \leq 2\ell$  and  $T^{\mu_0}, \ldots, T^{\mu_{2\ell}}$  are algebraically independent.

Set  $R^{(0)} = \mathbb{K}[T^{\mu_0}, \dots, T^{\mu_{2\ell}}]$  and define  $\eta_k \in \mathbb{Z}^{V^-}$  by

$$\eta_k(x) = \begin{cases} k, & x \in V, \\ 2k+1, & x = -\infty, \end{cases}$$

for  $1 \leq k \leq \ell - 1$ .

**Remark 21**  $\eta_k \in t\mathcal{U}^{(1)}$  and  $T^{\eta_1}$  is an element of  $\omega_R$  with minimum degree. In particular, a(R) = -3.

**Lemma 22** Let  $\varphi \colon R \to \omega_R(3)$  be the *R*-linear map with  $\varphi(1) = T^{\eta_1}$ . Then

$$\operatorname{Cok}\varphi = \bigoplus_{k=2}^{\ell-1} R^{(0)} T^{\eta_k}$$

Corollary 23

$$e(\operatorname{Cok}\varphi) = \mu(\operatorname{Cok}\varphi).$$

**Definition 24** An h-vector  $(h_0, h_1, \ldots, h_s)$ ,  $h_s \neq 0$  of a Cohen-Macaulay standard graded ring is called flawless if

- (1)  $h_i \leq h_{s-i}$  for  $0 \leq i \leq \lfloor s/2 \rfloor$  and
- (2)  $h_0 \leq h_1 \leq \cdots \leq h_{\lfloor s/2 \rfloor}$ .

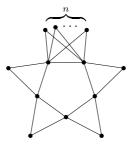
Hibi conjectured in 1989 that any Cohen-Macaulay standard graded domain has a flawless h-vector. Niesi-Robbiano constructed a Cohen-Macaulay standard graded domain whose h-vector is (1, 3, 5, 4, 4, 1), a counter example of Hibi's conjecture. Hibi-Tsuchiya computed the h-vector of the Ehrhart rings of the cycle graphs of length up to 11 and disproved Hibi's conjecture again. They also made the following Conjecture 25. Let  $(h_0, h_1, \dots, h_s)$ ,  $h_s \neq 0$  be the h-vector of R. Then  $s = \dim R + a(R) = 2\ell + 2 - 3 = 2\ell - 1$ .

Conjecture 25 (Hibi-Tsuchiya)  $h_s = 1$ ,  $h_{s-1} = h_1$  and  $h_{s-i} = h_i + (-1)^i$  for  $2 \le i \le \lfloor s/2 \rfloor$ .

Theorem 26 Conjecture 25 is true.

As a corollary of Theorem 26, we see the following.

**Corollary 27** There is an infinite sequence of standard graded Cohen-Macaulay domains whose h-vectors are not flawless.



$$a = -4,$$
  

$$n = 1, s = 7, h_4 = h_3 - 1,$$
  

$$n = 3, s = 9, h_5 = h_4 - 2,$$
  

$$n = 5, s = 11, h_6 = h_5 - 15,$$
  

$$n = 7, s = 13, h_7 = h_6 - 154,$$

 $n = 9, s = 15, h_8 = h_7 + 5670,$